DOCKET NUMBER: RSW920030074US1

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of

Chu, H., et al.

Serial #: To be assigned

Filed: herewith

For: Applying Abstraction to Object Markup Definitions

Date: July 24, 2003 IBM Corp. - T81/503

PO Box 12195

Res. Tri. Park, NC 27709

Group Art Unit: To be assigned

Customer Number: 25259 Examiner: Not yet assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents & Trademarks Washington, D. C. 20231

Sir:

This Information Disclosure Statement is being submitted for the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. 1.98(a)(1).

A copy of each of the required items on PTO-1449 are supplied herewith, except for any pending patent applications, for which no copies are provided herein.

Respectfully submitted,

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Gerald R. Woods

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY. DOCKET NO. RSW920030074US1 APPLICANT: Heng Chu, et al.	SERIAL NO.
) FILING DATE: herewith	GROUP:
REFEREN	CE D	ESIGNATION	U.S. PATE	NT DOCUMENTS	
EXAMINER INITIALS		DOCUMENT NUMBER	PUBLICATION DATE	NAME	REFERENCE
	ε	2003/0037301A1	Feb 20, 2003	Rising, III, Hawley K.	
	,	2003/0023623A1	Jan 30, 2003	Horvitz, Eric J., et al.	
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EXAMINER	CITE	FOREIGN PATENT	PUBLICATION	NAME	REFERENCE
INITIALS		DOCUMENT	DATE		
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	Machal, Benoit	"Tip: Tell a parser where to find a schema ", 22 May 2003, DeveloperWorks, 2 July 2003 http://www-106.ibm.com/developerworks/xml/library/x-tipvalschm (p. 1-7 of 7)
	McLaughlin, Brett	"Tip: Validation and the SAX ErrorHandler interface", 1 June 2001, DeveloperWorks, 11 Jul 2003, http://www-106.ibm.com/developerworks/library/x-tipeh.html (p. 1-4 of 4)
	Roy, Jaideep et al	"XML Schema Language: Taking XML to the Next Level", IT Professional, Volume 3 Issue 2, Mar/Apr 2001 (p. 37-40)
XAMINER		DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.